Involutive solutions of the Yang–Baxter equation of multipermutation level 2 and their permutation groups

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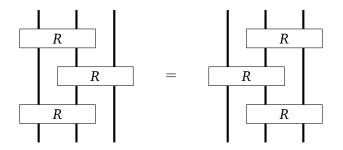


# Yang–Baxter equation

#### Definition

Let *V* be a vector space. A homomorphism  $R: V \otimes V \rightarrow V \otimes V$  is called a *solution of Yang–Baxter equation* if it satisfies

 $(R \otimes \mathrm{id}_V)(\mathrm{id}_V \otimes R)(R \otimes \mathrm{id}_V) = (\mathrm{id}_V \otimes R)(R \otimes \mathrm{id}_V)(\mathrm{id}_V \otimes R).$ 



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# Set-theoretic solutions

## Definition

Let *X* be a set. A mapping  $r : X \times X \rightarrow X \times X$  is called a *set-theoretic solution of Yang–Baxter equation* if it satisfies

 $(r \times \mathrm{id}_X)(\mathrm{id}_X \times r)(r \times \mathrm{id}_X) = (\mathrm{id}_X \times r)(r \times \mathrm{id}_X)(\mathrm{id}_X \times r).$ 

A solution  $r : (x, y) \mapsto (\sigma_x(y), \tau_y(x))$  is called *non-degenerate* if  $\sigma_x$  and  $\tau_y$  are bijections, for all  $x, y \in X$ . A solution is called *involutive* if  $r^2 = id_{X^2}$ .

#### Observation

If *r* is involutive then  $\tau_y(x) = \sigma_{\sigma_x(y)}^{-1}(x)$ .

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# Retracts of involutive solutions

#### Definition

Let  $(X, \sigma, \tau)$  be an involutive solution. We define a relation  $\sim$  on X as

 $x \sim y$  if and only if  $\sigma_x = \sigma_y$ .

The set  $\{[x]_{\sim} \mid x \in X\}$  with operations

 $\sigma_{[x]_{\sim}}([y]_{\sim}) = [\sigma_x(y)]_{\sim}$  and  $\tau_{[y]_{\sim}}([x]_{\sim}) = [\tau_y(x)]_{\sim}$ 

is called the *retract* of X and denoted by Ret(X).

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# Retract is a solution

## Theorem (Etingof, Schedler, Soloviev)

Let  $(X, \sigma, \tau)$  be an involutive solution. Then Ret(X) is a well-defined involutive solution.

#### Definition

We say that an involutive solution  $(X, \sigma, \tau)$  has *multipermutation level* k if k is the smallest integer such that  $|\text{Ret}^k(X)| = 1$ .

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Theorem (P. J., A. P., A. Z.-D.)

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Let  $x \sim x'$  and  $y \sim y'$ . Then

•  $\sigma_x(y) \sim \sigma_{x'}(y')$ •  $\sigma_x^{-1}(y) \sim \sigma_{x'}^{-1}(y')$  •  $\tau_y(x) \sim \tau_{y'}(x')$ •  $\tau_y^{-1}(x) \sim \tau_{y'}^{-1}(x')$ 

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# Multipermutation solutions of level 1

#### Proposition

Let X be a set and let f be a permutation on X. We define, for all  $x, y \in X$ ,

$$\sigma_x(y) = f(y)$$
 and  $\tau_y(x) = f^{-1}(x)$ .

Then  $(X, \sigma, \tau)$  is an involutive solution of multipermutation level 1. Such a solution is called Lyubashenko solution or permutation solution.

On the other hand, every multipermutation solution of level 1 is a permutation solution.

#### Definition

If  $f = id_X$  then X is called a *trivial* solution.

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# Reductivity

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Let  $(X, \sigma, \tau)$  be an involutive solution. We say that X is *k*-reductive if

$$\sigma_{\sigma_{\cdots\sigma_{x_{1}}(x_{1})}(x_{2})\cdots}(x_{k-1})}(x_{k}) = \sigma_{\sigma_{\cdots\sigma_{x_{1}}(x_{2})\cdots}(x_{k-1})}(x_{k})$$

## Proposition (T. Gateva-Ivanova)

multipermutation level at most  $k - 1 \Rightarrow k$ -reductivity  $\Rightarrow$ multipermutation level at most k

#### Proposition (T. Gateva-Ivanova)

Let  $(X, \sigma, \tau)$  be an involutive solution satisfying

 $\forall x \in X \exists y \in X \sigma_y(x) = x.$ 

Then X is k-reductive if and only if it has multipermutation level at most k.

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# Permutation group

## Definition

Let  $(X, \sigma, \tau)$  be an involutive solution. The group

$$\mathfrak{G}(X) = \langle \sigma_x \mid x \in X \rangle$$

is called the *permutation group* of *X* or the *involutive Yang-Baxter* group of *X*.

#### Observation

Let  $(X, \sigma, \tau)$  be a k-reductive involutive solution. Then each orbit of the action of  $\mathcal{G}(X)$  is a subsolution of X of multipermutation level at most k - 1.

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# 2-reductive solutions

## Proposition (T. Gateva-Ivanova)

Let  $(X, \sigma, \tau)$  be an involutive solution. Then the following conditions are equivalent:

- X is 2-reductive, i.e.  $\sigma_{\sigma_x(y)}(z) = \sigma_y(z)$ ,
- $\sigma_x \in \operatorname{Aut}(X)$ , for each  $x \in X$ , i.e.  $\sigma_x \sigma_y(z) = \sigma_{\sigma_x(y)} \sigma_x(z)$ ,
- *X* has multip. level at most 2 and, for all  $x \in X$ ,  $\tau_x = \sigma_x^{-1}$ ,
- Ret(*X*) is a trivial solution.

#### Corollary

Let  $(X, \sigma, \tau)$  be a 2-reductive involutive solution. Then  $\mathfrak{G}(X)$  is abelian.

## Theorem (W. Rump)

For each  $k \in \mathbb{N}$ , there exists an involutive solution of multipermutation level k with cyclic permutation group.

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# Construction of 2-reductive solutions

## Theorem (P. J., A. P., A. Z.-D.)

Let us have

- an index set I,
- abelian groups  $A_i$ , for  $i \in I$ ,
- a matrix of constants  $c_{i,j} \in A_j$ , for  $i, j \in I$ .

Then the set  $X = \bigsqcup_{i \in I} A_i$  with operation  $\sigma : X \times X \to X$  defined by  $\sigma_a(b) = b + c_{i,j}$ , for  $a \in A_i$  and  $b \in A_j$ 

is a 2-reductive involutive solution.

Conversely, every 2-reductive involutive solution can be obtained this way.

## Corollary

Each abelian group is isomorphic to the permutation group of a 2-reductive involutive solution.

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Conversely, every 2-reductive involutive solution can be obtained this way.

## Corollary

Each abelian group is isomorphic to the permutation group of a **2**-reductive involutive solution.

## Numbers of 2-reductive solutions

n	1	2	3	4	5	6	7	8
involutive solutions	1	2	5	23	88	595	3456	34528
multip. level 2	1	2	5	19	70	359	2095	16332
2-reductive	1	2	5	17	65	323	1960	15421
mp level 2, not 2-red.	0	0	0	2	5	36	135	911

n	9	10	11
2-reductive	155889	2064688	35982357

n	12	13	14
2-reductive	832698007	25731050861	1067863092309

Theorem (S. Blackburn)

There are at least  $2^{n^2/4+o(n \cdot \log n)}$  2-reductive involutive solutions.

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## Displacement group

## Definition

Let  $(X, \sigma, \tau)$  be an involutive solution. Then *displacement* group or the *transvection* group of *X* is the group

$$\operatorname{Dis}(X) = \langle \sigma_x \sigma_y^{-1} \mid x, y \in X \rangle.$$

#### Theorem (P. J., A. P.)

Let  $(X, \sigma, \tau)$  be an involutive solution of multipermutation level at most 2. Then Dis(X) is a normal abelian subgroup of  $\mathcal{G}(X)$ . Moreover,  $\mathcal{G}(X) = Dis(X)\langle \sigma_x \rangle$ , for any  $x \in X$ .

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## Example on groups

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Let  $X = \{1, 2, 3, 4, 5\}$  and let

$$\begin{split} \sigma_1 &= (1,2)(3,5) \\ \sigma_2 &= (1,2)(4,5) \\ \sigma_3 &= \sigma_4 = \sigma_5 = (1,2)(3,4) \end{split}$$

## Then

 $G(X) = \{ id_X, (1, 2)(3, 5), (1, 2)(4, 5), (1, 2)(3, 4), (3, 4, 5), (5, 4, 3) \}$ and  $D_{i}(X) = \{ id_X, (1, 2)(3, 5), (1, 2)(4, 5), (1, 2)(3, 4), (3, 4, 5), (5, 4, 3) \}$ 

$$Dis(X) = \{ id_X, (3, 4, 5), (5, 4, 3) \}.$$

# From multipermutation level 2 to 2-reductivity

## Proposition (P. J., A. P. A. Z.-D.)

Let  $(X, \sigma, \tau)$  be an involutive solution of multipermutation level at most 2 and choose  $e \in X$ . Let  $(X', \sigma', \tau')$  be the following:

• 
$$X' = X$$
, •  $\sigma'_x = \sigma_x \sigma_e^{-1}$ , •  $\tau'_y = \sigma_e \tau_{\sigma_e^{-1}(y)}$ .

Then  $(X', \sigma', \tau')$  is a 2-reductive involutive solution with  $\mathfrak{G}(X') = \mathrm{Dis}(X') = \mathrm{Dis}(X).$ 

#### Proposition (P. J., A. P. A. Z.-D.)

Let  $(X, \sigma, \tau)$  be a 2-reductive involutive solution and let  $\pi \in S_X$ satisfy  $\sigma_{\pi(y)}\pi\sigma_x = \sigma_{\pi(x)}\pi\sigma_y$ . Let  $(X', \sigma', \tau')$  be:

• 
$$X' = X$$
, •  $\sigma'_{\chi} = \sigma_{\chi} \pi$ , •  $\tau'_{y} = \pi^{-1} \tau_{\pi(y)}$ .

Then  $(X', \sigma', \tau')$  is an involutive solution of multipermutation level 2 with  $\mathcal{G}(X') = \mathcal{G}(X)\langle \pi \rangle$ .

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Let  $(X, \sigma, \tau)$  be a 2-reductive involutive solution and let  $\pi \in S_X$ satisfy  $\sigma_{\pi(y)}\pi\sigma_x = \sigma_{\pi(x)}\pi\sigma_y$ . Let  $(X', \sigma', \tau')$  be:

• 
$$X' = X$$
, •  $\sigma'_x = \sigma_x \pi$ , •  $\tau'_y = \pi^{-1} \tau_{\pi(y)}$ .

Then  $(X', \sigma', \tau')$  is an involutive solution of multipermutation level 2 with  $\mathfrak{G}(X') = \mathfrak{G}(X)\langle \pi \rangle$ .

# Example on isotopy

### Example

Let 
$$X = \{1, 2, 3, 4, 5\}$$
 and let  $\sigma_1 = (1, 2)(3, 5)$ ,  $\sigma_2 = (1, 2)(4, 5)$ ,  
 $\sigma_3 = \sigma_4 = \sigma_5 = (1, 2)(3, 4)$ .  
Let  $\sigma'_x = \sigma_x \sigma_1^{-1}$ , then

$$\begin{aligned} \sigma_1' &= id_{X'} \\ \sigma_2' &= (3, 4, 5) \\ \sigma_3' &= \sigma_4' = \sigma_5' = (5, 4, 3) \end{aligned}$$

Let  $\sigma_x'' = \sigma_x \sigma_3^{-1}$ , then

$$\begin{split} \sigma_1'' &= (3,4,5) \\ \sigma_2'' &= (5,4,3) \\ \sigma_3'' &= \sigma_4'' = \sigma_5'' = id_{X''} \end{split}$$

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# Indecomposable solutions

#### Definition

We say that an ivolutive solution  $(X, \sigma, \tau)$  is *indecomposable* if  $\mathcal{G}(X)$  acts transitively on *X*.

#### Proposition

Let  $(X, \sigma, \tau)$  be a k-reductive involutive solution of multipermutation level k. Then X is decomposable.

#### Proof.

*X* is *k*-reductive and therefore the orbits of  $\mathcal{G}(X)$  are of multipermutation level at most k - 1. Hence  $\mathcal{G}(X)$  is not transitive.

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# Abelian permutation group

#### Theorem (M. Castelli, G. Pinto, W. Rump)

Let  $(X, \sigma, \tau)$  be an indecomposable involutive solution of size pq, where p, q are primes, such that  $\mathfrak{G}(X)$  is abelian. Then X is of multipermutation level at most 2.

There is only one such solution, up to isomorphism if  $p \neq q$ , and there are p + 1 such solutions if p = q.

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# Generators of the displacement group

#### Proposition (P. J., A. P.)

Let  $(X, \sigma, \tau)$  be an indecomposable involutive solution of multipermutation level at most 2. Choose  $e \in X$  and let  $d = \sigma_e(e)$ . Then  $o(\sigma_e) = o(\sigma_d)$  and

$$\mathfrak{G}(X) = \langle \sigma_e, \sigma_d \rangle$$
 and  $\mathrm{Dis}(X) = \langle \sigma_e^{-i} \sigma_d \sigma_e^{i-1} | i \in \mathbb{Z} \rangle$ .

•  $n_1 = |C_1|,$  •  $n_2 = |C_2|,$ 

•  $\sigma_d^{n_1} = (\sigma_e^{n_1})^{r+1}$ .

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#### Corollary

If  $\mathfrak{G}(X)$  is abelian then Dis(X) is cyclic and  $\mathfrak{G}(X) \cong C_1 \times C_2$ , where  $C_1$ ,  $C_2$  are cyclic and  $|C_1|$  divides  $|C_2|$ .

#### Observation |

For finite solutions, there are 3 parameters:

•  $n_1 = |C_1|$ , •  $n_2 = |C_2|$ ,

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# Construction of indecomposable solutions with abelian permutation group

#### Theorem (P. J., A. P., A. Z.-D.)

Let  $n_1, n_2 \in \mathbb{Z}^+$  be such that  $n_1 \mid n_2$ . Let  $r \in \{0, 1, \dots, n_2/n_1 - 1\}$ be such that  $n_2 \mid n_1 r^2$ . Then  $(X, \sigma, \tau)$  with  $X = \mathbb{Z}_{n_1} \times \mathbb{Z}_{n_2}$  and

$$\sigma_{(a,i)}((b,j)) = (b - ar + i, j + ir - ar^2 + 1)$$

is an indecomposable involutive solution of size  $n_1n_2$  and multipermutational level at most 2 with the permutation group  $\mathcal{G}(X)$  isomorphic to  $\mathbb{Z}_{n_1} \times \mathbb{Z}_{n_2}$ .

Different choices of  $n_1$ ,  $n_2$  and r give non-isomorphic solutions. Every finite indecomposable involutive solution of multipermutation level 2 with abelian permutation group is isomorphic to a solution so constructed.

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# Indecomposable solutions of size pq

### Conditions: $|X| = n_1 \cdot n_2$ , $n_1 \mid n_2$ , $0 \leq r < \frac{n_2}{n_1}$ , $n_2 \mid n_1 r^2$

#### Example

• Case 
$$p \neq q$$
:  $n_1 = 1$ ,  $n_2 = pq$ ,  $r = 0$ 

• Case 
$$\mathbb{Z}_p \times \mathbb{Z}_p$$
:  $n_1 = p$ ,  $n_2 = p$ ,  $r = 0$ 

• Case 
$$\mathbb{Z}_p^2$$
:  $n_1 = 1, n_2 = p^2, r \in \{0, p, 2p, \dots, p^2 - p\}$ 

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## Conditions: $|X| = n_1 \cdot n_2$ , $n_1 \mid n_2$ , $0 \leq r < \frac{n_2}{n_1}$ , $n_2 \mid n_1 r^2$

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# Indecomposable solutions with non-abelian permutation group

#### Theorem (P. J., A. P.)

There exists an indecomposable solution that homomorphically maps onto any indecomposable involutive solution of multipermutation level 2.

#### Idea of the proof.

 $\mathbb{Z} \quad \dots \quad \text{free cyclic group} \\ \bigoplus_{\mathbb{Z}} \mathbb{Z} \quad \dots \quad \text{free abelian group with } \omega \text{ generators} \\ \bigoplus_{\mathbb{Z}} \mathbb{Z}) \rtimes \mathbb{Z} \text{ maps onto } \mathcal{G}(X) = \text{Dis}(X) \langle \sigma_X \rangle$ 

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